



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Eugene Jarvis et al.  
Appl. No.: 10/002,553  
Filed: November 23, 2001  
Title: FINANCIAL TRADING GAME  
Art Unit: 3713  
Examiner: Unknown  
Docket No.: 0114066-004

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JN  
8-5-02

Honorable Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
3,559,993	February 2, 1971	Thomas
3,770,277	November 6, 1973	Cass
4,363,489	December 14, 1982	Chodak et al.
4,448,419	May 15, 1984	Telnaes
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4,624,459	November 25, 1986	Kaufman
5,611,535	March 18, 1987	Tiberio
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4,932,668	June 12, 1990	Stewart
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5,851,148	December 22, 1998	Brune et al.
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EP 0926645 A2	June 30, 1999	Europe
EP 0944030 A2	September 22, 1999	Europe
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Addams Family Advertisement and Article written by IGT, Strictly Slots, published in 2000.

Adders and Ladders Advertisement written by Barcrest Ltd., published prior to 2000.

American Thunder Screen Shots written by IGT, published in 1998.

Big Bang Piggy Bankin Advertisement written by WMS Gaming, Inc., published prior to 2000.

Blackjack/Twenty-One Description written by Hoyle's Rules of Games, published in 1993.

Bonus Spin Red, White & Blue Advertisement written by IGT, published in 2000.

Bonus Times Article written by Strictly Slots, published in 2000.

By George written by IGT, published in 2002.

Caribbean Gold II Advertisement written by Aristocrat Incorporated, published in 1998.

Cash Box Advertisement & Article written by Anchor Games, Strictly Slots, published in 2000.

Chutes & Ladders Game Instructions written by Hasbro-Milton Bradley, published in 1999.

Description of Let's Make a Deal Television Show written by letsmakeadeal.com (2 pages), printed on 03/16/01

Double Diamond Game Descriptions written by IGT printed on March 21, 2001.

Double Up Poker Game Description written by IGT Undated

Easy Street Advertisements and Articles written by Casino Data Systems, published in 2000.

Elvis Advertisement written by IGT, published in 1999.

Empire Game Advertisement written by AC Coin, published in 1996.

Fire and Fortune Article written by Strictly Slots, published in 2001.

Fox "N" Hound Advertisement written by IGT, published in 2000.

In Between Game Description written by IGT, available prior to 2000.

Jackpot Party Advertisements and Articles written by WMS Gaming, Inc., published in 1998.

Keep Your Hat On Advertisement written by Aristocrat, published in 2001.

Let's Make a Deal written by geocities.com (10 pages), printed on 03/21/01.

Let's Make a Deal written by fortunecity.com (4 pages), printed on 03/21/01.

Let's Make a Deal written by Illinoislottery.com (1 page), printed on 03/21/01.

Let's Make a Deal geocities.com (2 pages), printed on 03/16/01.

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MegaJackpots Advertisement written by IGT, published in 1998.

Money Grab Article written by Strictly Slots, published in April 2001.

Money in the Bank Advertisement written by Strictly Slots Konami, published in 2001.

Monopoly Advertisements and Articles written by WMS Gaming, Inc., Strictly Slots, published in 1998, 1999, 2000.

Monopoly Party Train Article written by Strictly Slots, published in 2002.

Neon Nights written by IGT, published in 2000.

On the Money Article written by Strictly Slots, Casino Data Systems, published in December 2000.

Polly & Roger Advertisement written by VLC, Inc., published in 2000.

Price is Right "Cliff Hangers" Description written by [www.geocities.com/members.aol.com](http://www.geocities.com/members.aol.com) (web site), printed 3/21/01.

Price is Right "Showcases" Description written by [schuminweb.com](http://schuminweb.com) (web site), printed 3/16/01.

Psycho Cash Beast Club (including knockouts) written by Barcrest, published prior to 1998.

Richard Petty Advertisement written by IGT, published in 2000.

South Park – Dodgeball Advertisement written by IGT, published in 2000.

Spell Binder Advertisement written by IGT, published in 2000.

Sphinx Advertisement written by Atronic Casino Technology, Ltd., published in 1997.

Take Your Pick Article written by Strictly Slots, published in March 2001.

Take Your Pick Advertisement written by IGT/Anchor Gaming, published in 1999.

Texas Tea Advertisement written by IGT, published in 2000.

The Deals of Let's Make a Deal written by fortunecity.com (2 pages), printed on 03/16/01.

The Official Let's Make a Deal Website written by Bally Gaming System Website, printed on 03/16/01.

Top Cat Advertisement written by WMS Gaming, Inc., published prior to 2000.

Top Dollar Game Advertisement written by IGT, published in 1998.

Totem Pole Advertisement written by IGT, published in 1997.

Treasure Wheel/Treasure Tunnel Advertisement written by Sigma Game, Inc., published prior to 2000.

Wheel of Fortune Advertisement written by IGT, published in 1998.

Wheel of Fortune Advertisement written by IGT, published in 1999.

Wheel Poker Article written by Strictly Slots (Anchor Games), published in November 2000.

Winning Streak Web Site Description written by WMS Gaming Inc. (web site), printed on 3/21/01.

X Factor Advertisement and Website Page written by WMS Gaming, Inc., published in 1998.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

Adam H. Masia

Reg. No.35,602

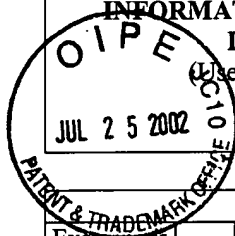
P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4284



<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary) <b>PTO Form 1449</b>	Atty Docket No. 0114066-004	Application No. 10/002,553
	Applicant Jarvis et al.	
	Filing Date November 23, 2001	Group 3713



U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	3,559,993	February 2, 1971	Thomas			
	3,770,277	November 6, 1973	Cass			
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	4,624,459	November 25, 1986	Kaufman			
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	5,080,368	January 14, 1992	Weisser			
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	5,178,390	January 12, 1993	Okada			
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**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

(See several sheets if necessary)

PTO Form 1449

Atty Docket No.

0114066-004

Application No.

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Applicant

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	5,964,463	October 12, 1999	Moore, Jr.			
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	5,980,384	November 9, 1999	Barrie			
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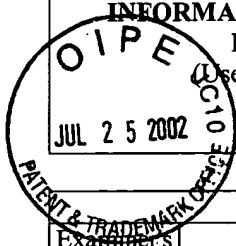
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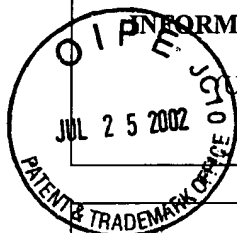
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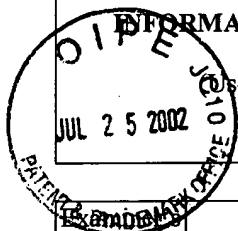
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	6,375,187 B1	April 23, 2002	Baerlocher			

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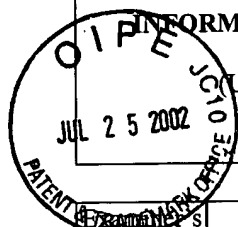
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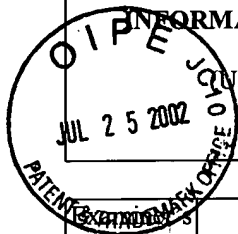


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	Price is Right "Cliff Hangers" Description written by www.geocities.com; members.aol.com (web site), printed 3/21/01.
	Price is Right "Showcases" Description written by schuminweb.com (web site), printed 3/16/01.
	Psycho Cash Beast Club (including knockouts) written by Barcrest, published prior to 1998.
	Richard Petty Advertisement written by IGT, published in 2000.
	South Park - Dodgeball Advertisement written by IGT, published in 2000.
	Spell Binder Advertisement written by IGT, published in 2000.
	Sphinx Advertisement written by Atronic Casino Technology, Ltd., published in 1997.
	Take Your Pick Article written by Strictly Slots, published in March 2001.
	Take Your Pick Advertisement written by IGT/Anchor Gaming, published in 1999.

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449		Atty Docket No. 0114066-004	Application No. 10/002,553
		Applicant Jarvis et al.	
		Filing Date November 23, 2001	Group 3713

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
Initials	
	Texas Tea Advertisement written by IGT, published in 2000.
	The Deals of Let's Make a Deal written by fortunecity.com (2 pages), printed on 03/16/01.
	The Official Let's Make a Deal Website written by Bally Gaming System Website, printed on 03/16/01.
	Top Cat Advertisement written by WMS Gaming, Inc., published prior to 2000.
	Totem Pole Advertisement written by IGT, published in 1997.
	Treasure Wheel/Treasure Tunnel Advertisement written by Sigma Game, Inc., published prior to 2000.
	Wheel of Fortune Advertisement written by IGT, published in 1998.
	Wheel of Fortune Advertisement written by IGT, published in 1999.
	Wheel Poker Article written by Strictly Slots (Anchor Games), published in November 2000.
	Winning Streak Web Site Description written by WMS Gaming Inc. (web site), printed on 3/21/01.
	X Factor Advertisement and Website Page written by WMS Gaming, Inc., published in 1998.

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07-29-22

OP 3713

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Eugene Jarvis et al.

Docket No. 1

0114066-004

Serial No.

10/002,553

Filing Date

November 23, 2001

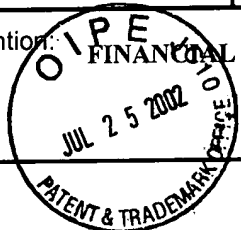
Examiner

Unknown

Group Art Unit

3713

Invention: **FINANCIAL TRADING GAME**



I hereby certify that the following correspondence:

Transmittal of Information Disclosure Statement; Information Disclosure Statement; Information Disclosure Citation Form 1449; References cited; Certificate of Express Mailing and Postcard Return Receipt.

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
0114066-004

In Re Application Of: Eugene Jarvis et al.

Serial No.  
10/002,553

Filing Date  
November 23, 2001

Examiner  
Unknown

Group Art Unit  
3713

Title: FINANCIAL TRADING GAME



Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
0114066-004

In Re Application: Eugene Jarvis et al.

Serial No.  
10/002,553

Filing Date  
November 23, 2001

Examiner  
Unknown

Group Art Unit  
3713

**FINANCIAL TRADING GAME**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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*Adam H. Masia*  
Signature

Dated: 7/25/02

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BELL, BOYD & LLOYD LLC  
P. O. Box 1135  
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